

## Single-particle propagator

### ① Electron propagator in a non-interacting system

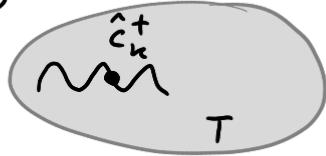
- definition of an **electron propagator**

$$G_R(t) = -\frac{i}{\hbar} \langle [\hat{A}(t), \hat{B}(0)]_\epsilon \rangle \delta(t) \quad \rightarrow \quad G_R(k, t) = -\frac{i}{\hbar} \langle \{\hat{c}_k(t), \hat{c}_k^+(0)\} \rangle \delta(t)$$

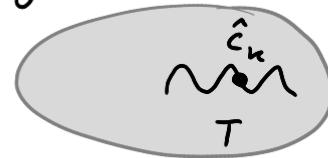
$\hat{B}$  ... creation of particle via  $\hat{c}_{k\sigma}^+$  (momentum conserved)

$\hat{A}$  ... annihilation of particle via  $\hat{c}_{k\sigma}$

$t=0$



$t > 0$



time evolution

- interpretation:

- amplitude of "survival" in the initial Bloch state (reflecting quasiparticle decay)
- phase oscillations corresponding to particle energy  $G \sim e^{-\frac{i}{\hbar} \epsilon_k t}$

- non-interacting electrons in a single band captured by  $\hat{H} = \sum_{kG} \epsilon_k \hat{c}_{kG}^+ \hat{c}_{kG}$

- direct evaluation of  $G_R$

typically includes  
chemical potential

$$\hat{c}_{kG}(t) = e^{\frac{i}{\hbar} \hat{H} t} \hat{c}_{kG} e^{-\frac{i}{\hbar} \hat{H} t}$$

$k_1 \uparrow k_1 \downarrow k_2 \uparrow k_2 \downarrow \dots$

$|1\rangle$  any configuration of electrons with  $kG$  occupied

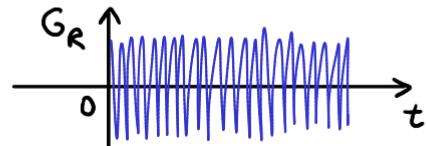
$|1 \ 0 \ 0 \ 1 \ \dots\rangle$

$$e^{\frac{i}{\hbar} \hat{H} t} \hat{c}_{kG} e^{-\frac{i}{\hbar} \hat{H} t} |1\rangle = \underbrace{e^{-\frac{i}{\hbar} E t}}_{\hat{H} \text{ eigenstate with energy } E} e^{\frac{i}{\hbar} \hat{H} t} \hat{c}_{kG} |1\rangle = \underbrace{e^{-\frac{i}{\hbar} \epsilon_k t}}_{\hat{H} \text{ eigenstate with energy } E - \epsilon_k} \hat{c}_k |1\rangle$$

$$\hat{c}_{kG}(t) = e^{-\frac{i}{\hbar} \epsilon_k t} \hat{c}_{kG} \quad \text{inserted into} \quad G_R(k, t) = -\frac{i}{\hbar} \langle \{ \hat{c}_{kG}(t), \hat{c}_{kG}^+ \} \rangle \delta(t)$$

$$G_R(k, t) = -\frac{i}{\hbar} e^{-\frac{i}{\hbar} \epsilon_k t} \langle \hat{c}_{kG} \hat{c}_{kG}^+ + \hat{c}_{kG}^+ \hat{c}_{kG} \rangle \delta(t) = -\frac{i}{\hbar} e^{-\frac{i}{\hbar} \epsilon_k t} \delta(t)$$

→ infinite lifetime, oscillations with frequency  $\frac{\epsilon_k}{\hbar}$



- in energy domain

$$G_R(k, E) = \int_{-\infty}^{\infty} dt e^{\frac{i}{\hbar}(E+i0^+)t} G_R(k, t) = -\frac{i}{\hbar} \int_0^{\infty} dt e^{\frac{i}{\hbar}(E+i0^+-\varepsilon_k)t} = \frac{1}{E+i0^+-\varepsilon_k}$$

Spectral function  $A(k, E) = -\frac{1}{\pi} \operatorname{Im} G_R(k, E) = \delta(E - \varepsilon_k)$

- evaluation via universal spectral representation

$$G(k, z) = \frac{1}{Z} \sum_{mn} |\langle n | \hat{c}_{kg}^+ | m \rangle|^2 \frac{e^{-\beta E_m} + e^{-\beta E_n}}{z + E_m - E_n}$$

$\hat{c}_{kg}^+$  brings  $\varepsilon_k$   
 $\rightarrow E_n - E_m = \varepsilon_k$

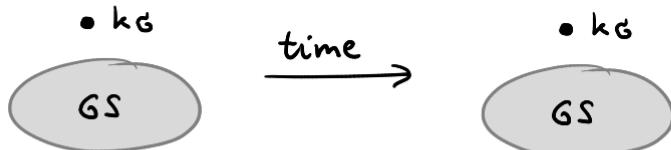
$$\begin{aligned} &= \frac{1}{z - \varepsilon_k} \frac{1}{Z} \sum_m \langle m | \hat{c}_{kg} \sum_n \langle n | \hat{c}_{kg}^+ | m \rangle e^{-\beta E_m} (1 + e^{-\beta \varepsilon_k}) \\ &= \frac{1}{z - \varepsilon_k} (1 + e^{-\beta \varepsilon_k}) \underbrace{\frac{1}{Z} \sum_m e^{-\beta E_m} \langle m | \hat{c}_{kg} \hat{c}_{kg}^+ | m \rangle}_{1 - \langle n_{kg} \rangle} \xrightarrow{\quad} \langle m | 1 - \hat{c}_{kg}^+ \hat{c}_{kg} | m \rangle \\ &= \frac{1}{z - \varepsilon_k} \end{aligned}$$

$1 - \langle n_{kg} \rangle = 1 - n_F(\varepsilon_k) = 1 - \frac{1}{e^{\beta \varepsilon_k} + 1}$

## ② Quasiparticle renormalization

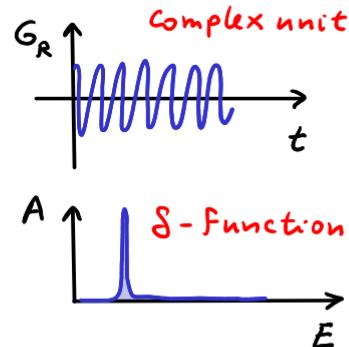
$$G_R(k, t) = -\frac{i}{\hbar} \langle \{ \hat{c}_{k\sigma}(t), \hat{c}_{k\sigma}^\dagger \} \rangle \delta(t) \rightarrow G_R(k, E) \rightarrow A(k, E) = -\frac{1}{\pi} \operatorname{Im} G_R(k, E)$$

- non-interacting system



$$G_R(t) \sim e^{-\frac{i}{\hbar} \varepsilon_k t}$$

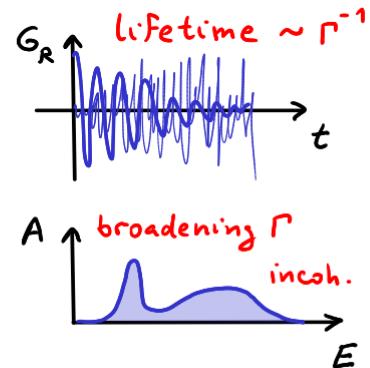
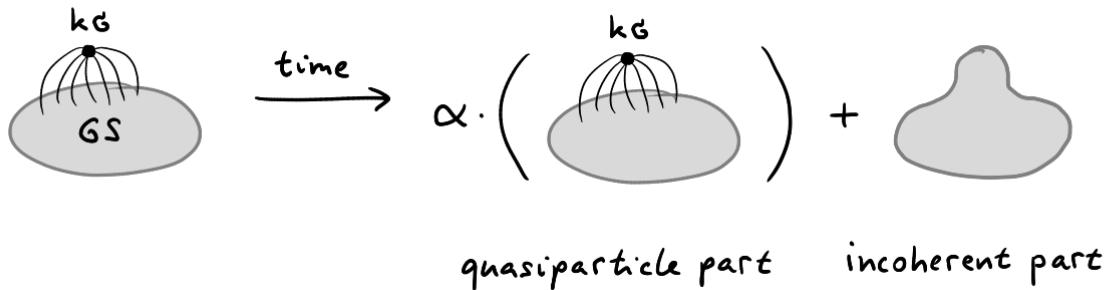
$$A(k, E) = \delta(E - \varepsilon_k)$$



- interacting system

$$G_R \sim e^{-\frac{\Gamma_k}{\hbar} t} e^{-\frac{i}{\hbar} \tilde{\varepsilon}_k t}$$

$$A \sim \frac{1}{(E - \tilde{\varepsilon}_k)^2 + \Gamma_k^2}$$



- Components of the electron spectral function

$$A(k, E) = \frac{1}{2} \sum_{mn} e^{-\beta E_m} \left\{ |\langle n | \hat{c}_{kG}^+ | m \rangle|^2 \delta [E - (E_n - E_m)] + |\langle n | \hat{c}_{kG}^- | m \rangle|^2 \delta [E + (E_n - E_m)] \right\}$$

$$= A_+(k, E) + A_-(k, -E)$$

$\hookrightarrow A_+(k, E)$        $\hookrightarrow A_-(k, -E)$

electron addition, iPES      electron removal, PES

$$A_+(k, E) = \frac{1}{2} \sum_{mn} e^{-\beta E_m} |\langle n | \hat{c}_{kG}^+ | m \rangle|^2 \delta [E - (E_n - E_m)]$$

relabel  $m \leftrightarrow n$  and use  
 $\langle m | c^{+} | n \rangle = \langle n | c^{+} | m \rangle^*$

$$= \frac{1}{2} \sum_{mn} e^{-\beta E_n} |\langle n | \hat{c}_{kG}^- | m \rangle|^2 \delta [E + (E_n - E_m)] = e^{\beta E} A_-(k, -E)$$

$$A(k, E) = (e^{\beta E} + 1) A_-(k, -E) = (1 + e^{-\beta E}) A_+(k, E) \quad \xrightarrow{\quad} \quad A_+(k, E) = [1 - n_F(E)] A(k, E)$$

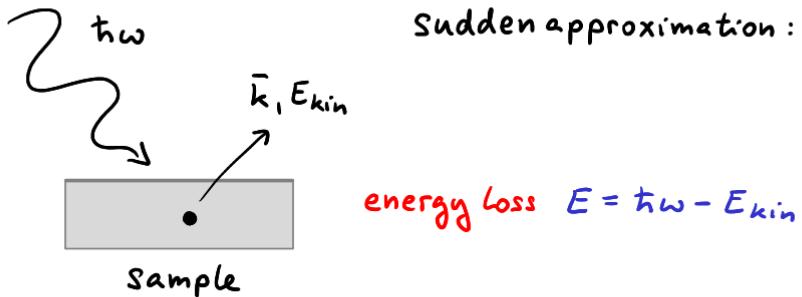
$$\quad \quad \quad \xrightarrow{\quad} \quad A_-(k, -E) = n_F(E) A(k, E)$$

- Sum rules

$$\int_{-\infty}^{\infty} dE A(k, E) = \langle \{ \hat{c}_{kG}, \hat{c}_{kG}^+ \} \rangle = 1$$

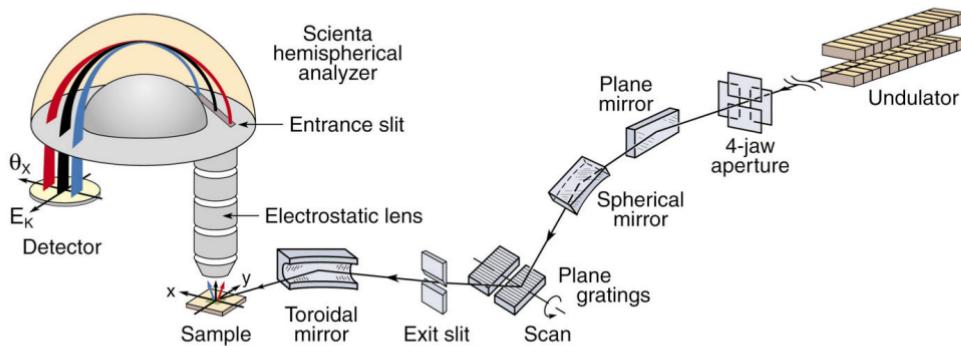
$$\int_{-\infty}^{\infty} dE A(k, E) n_F(E) = \langle n_{kG} \rangle$$

### ③ Angle-resolved photoemission spectroscopy (ARPES)



Sudden approximation: photoelectron removed instantaneously  
intensity  $\sim n_f(E) A(\vec{k}, E) = A_-(\vec{k}, -E)$

Damascelli, Hussain, and Shen: Photoemission studies of the cuprate superconductors



Scienta omicron



FIG. 6. Generic beamline equipped with a plane grating monochromator and a Scienta electron spectrometer (Color).

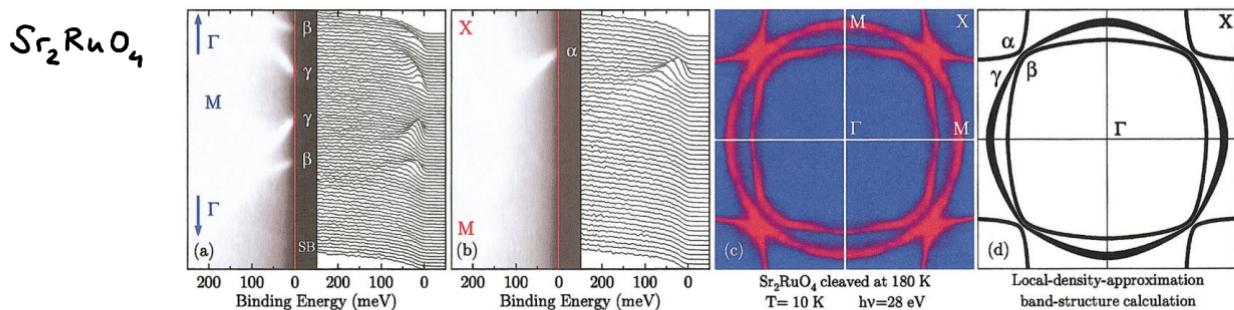
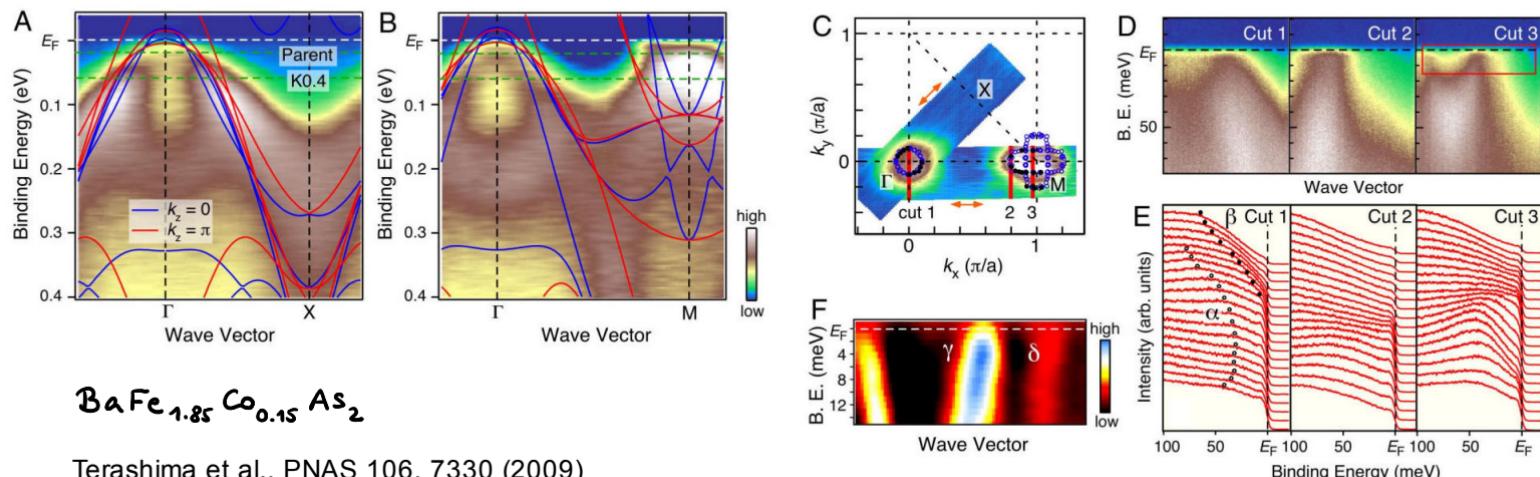


FIG. 9. Photoemission results from  $\text{Sr}_2\text{RuO}_4$ : ARPES spectra and corresponding intensity plot along (a)  $\Gamma$ - $M$  and (b)  $M$ - $X$ ; (c) measured Fermi surface; (d) calculated Fermi surface (Mazin and Singh, 1997). From Damascelli *et al.*, 2000 (Color).



## Spin-orbit splitting of the Shockley surface state on Cu(111)

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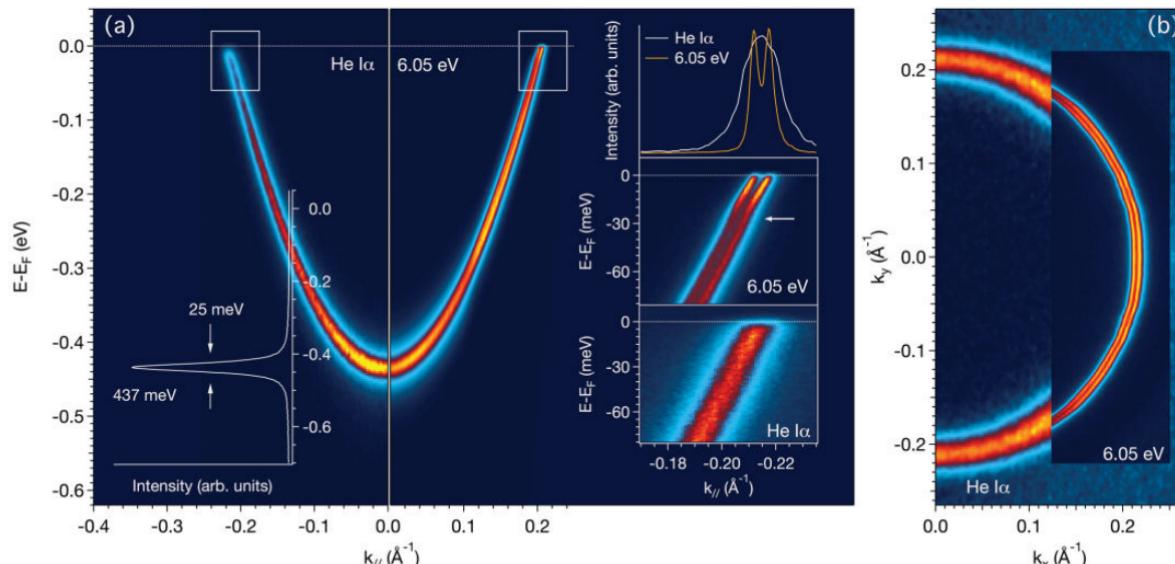
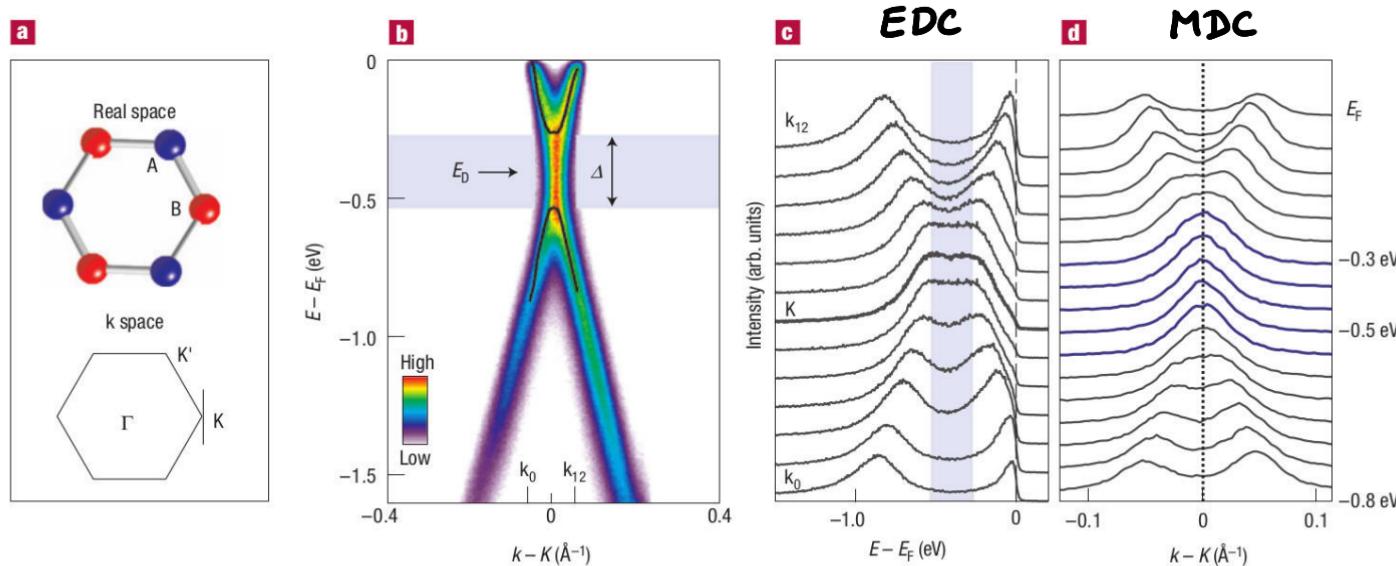


FIG. 1. (Color online) Conventional and laser-ARPES data from the Cu(111) surface state. (a) The full parabolic dispersion measured with He I $\alpha$  (left) and laser excitation (right). The insets show momentum distribution curves at the Fermi level ( $E_F$ ) and expand the most crucial region of the dispersion near  $E_F$ , revealing the momentum-independent splitting of the dispersion, which is characteristic of Rashba systems with small wave vectors. (b) A section of the Fermi surface measured with He I $\alpha$  and laser excitation, respectively.

# Substrate-induced bandgap opening in epitaxial graphene

Zhou et al., Nature Materials 6, 770 (2007)

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**Figure 1** Observation of the gap opening in single-layer graphene at the K point. **a**, Structure of graphene in the real and momentum space. **b**, ARPES intensity map taken along the black line in the inset of **a**. The dispersions (black lines) are extracted from the EDC peak positions shown in **c**. **c**, EDCs taken near the K point from  $k_0$  to  $k_{12}$  as indicated at the bottom of **b**. **d**, MDCs from  $E_F$  to  $-0.8$  eV. The blue lines are inside the gap region, where the peaks are non-dispersive.