

# Advanced Surface Science

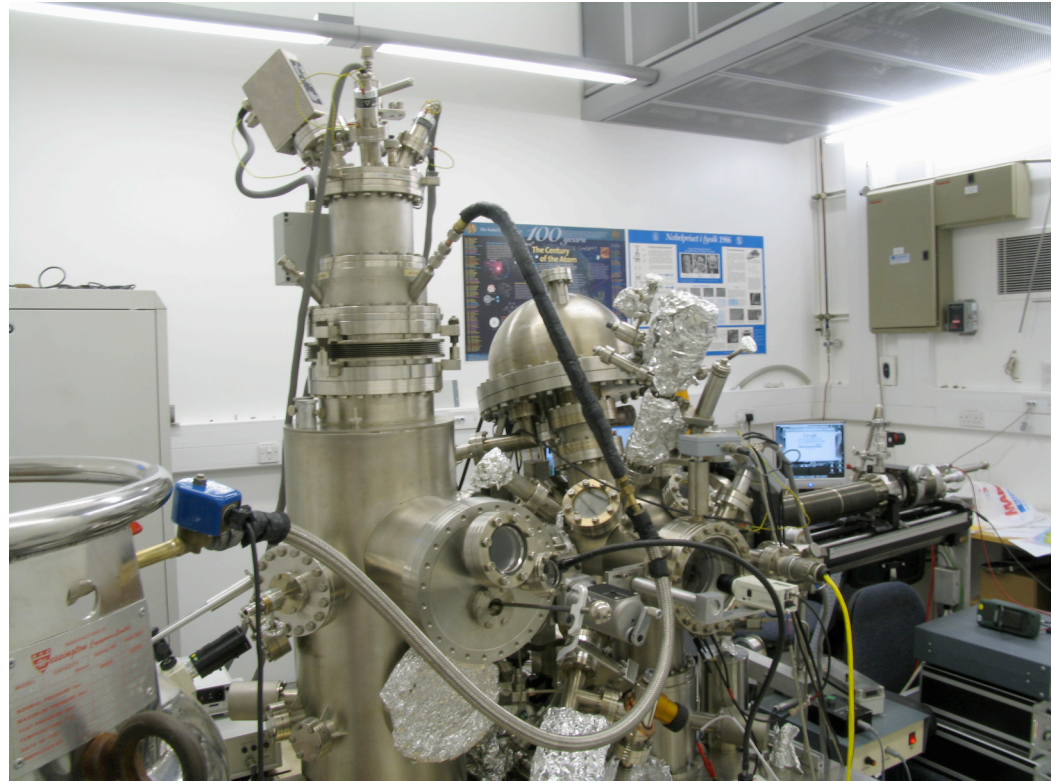
## 2) Surface symmetry

For MChem, Spring, 2009

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<http://www.sussex.ac.uk/Users/qc25/>

University of Sussex



Last lecture: Fundamental aspects of surface science

Challenges in surface science

Why UHV?

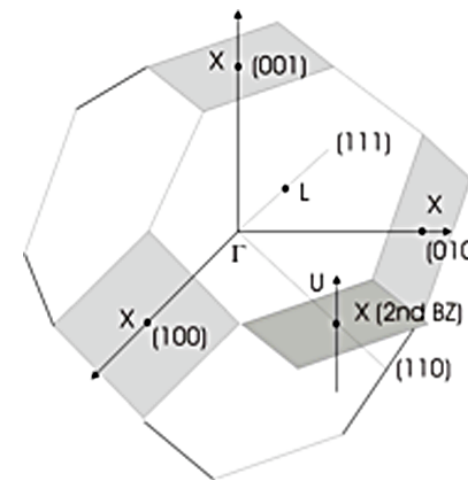
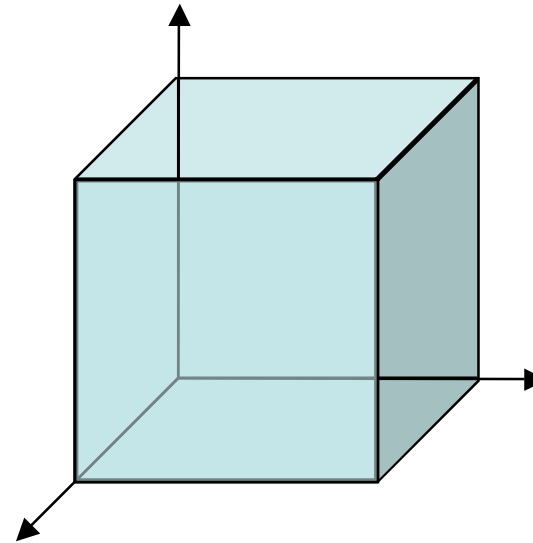
Pumps (rotary pump, diffusion pump, ion pump, TSP pump)

Vacuum gauges (Pirani gauge, Penning Gauge and ion gauge)

## Single crystal surfaces vs amorphous

- Simple structure
- High purity
- Well defined
- Measurable crystal dimension
- Clear bonding geometry

## A cubic crystal



## 2. Fundamental aspects of surface science

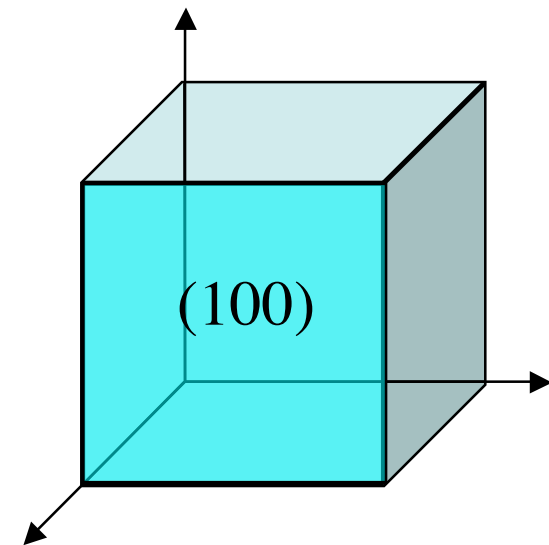
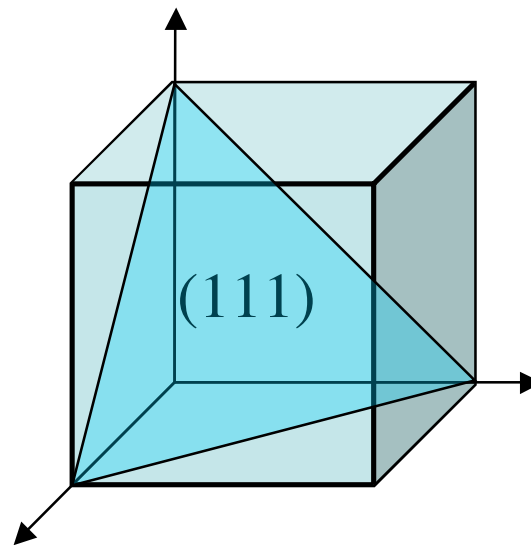
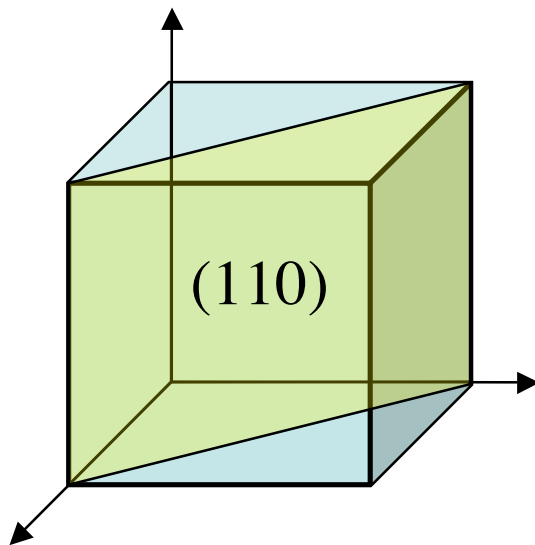
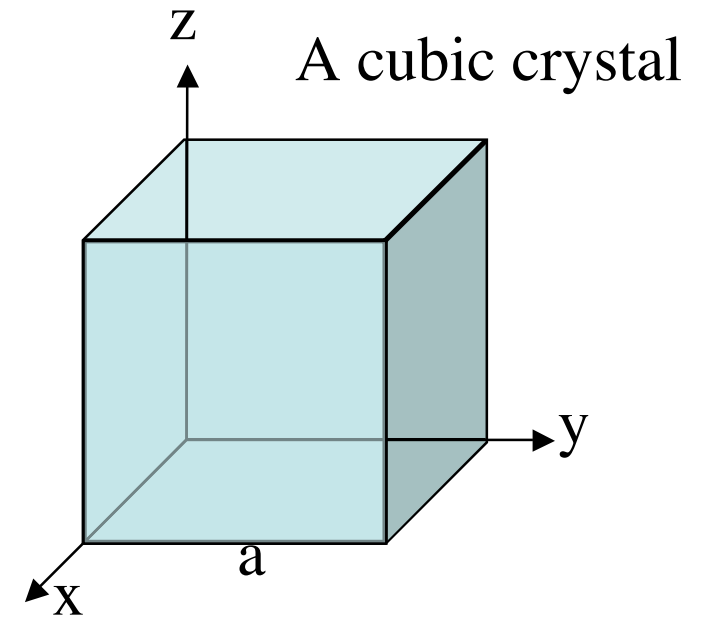
Surface Miller index and surface symmetry

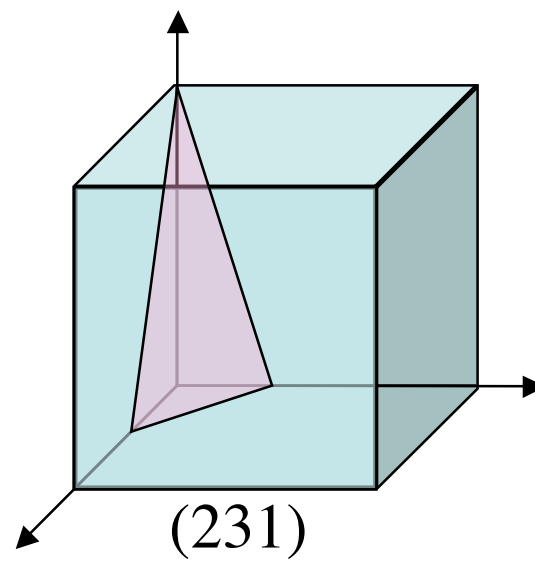
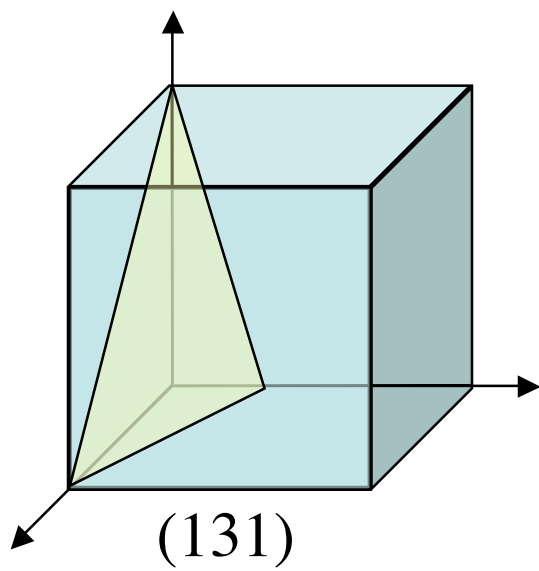
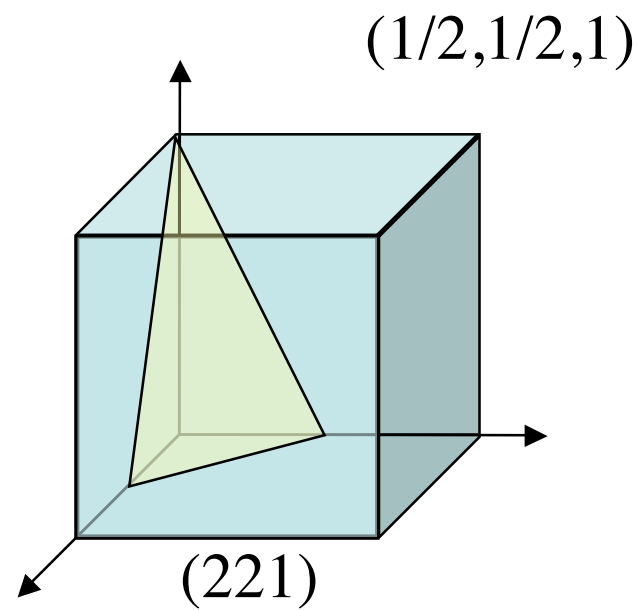
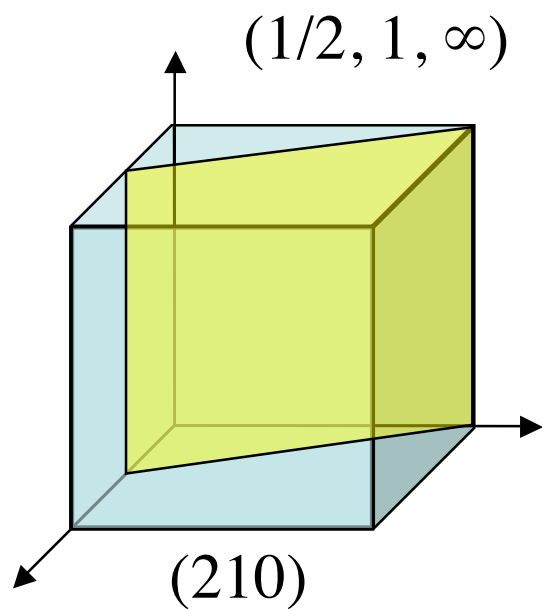
Miller index of a surface is the reciprocals of the fractional intercepts

Fractional intercepts:  $h^*$ ,  $k^*$ ,  $l^*$

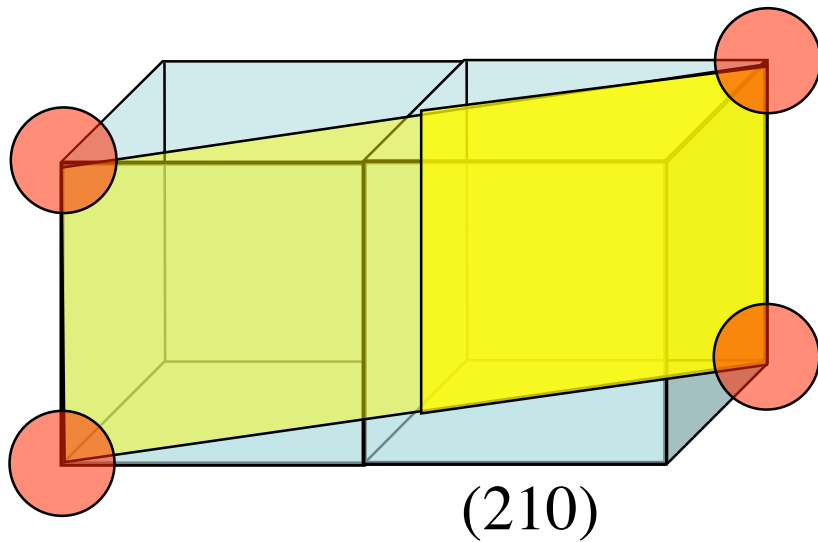
$$h=1/h^* \quad k=1/k^* \quad l=1/l^*$$

So, no  $\infty$  or fractions, but all integers

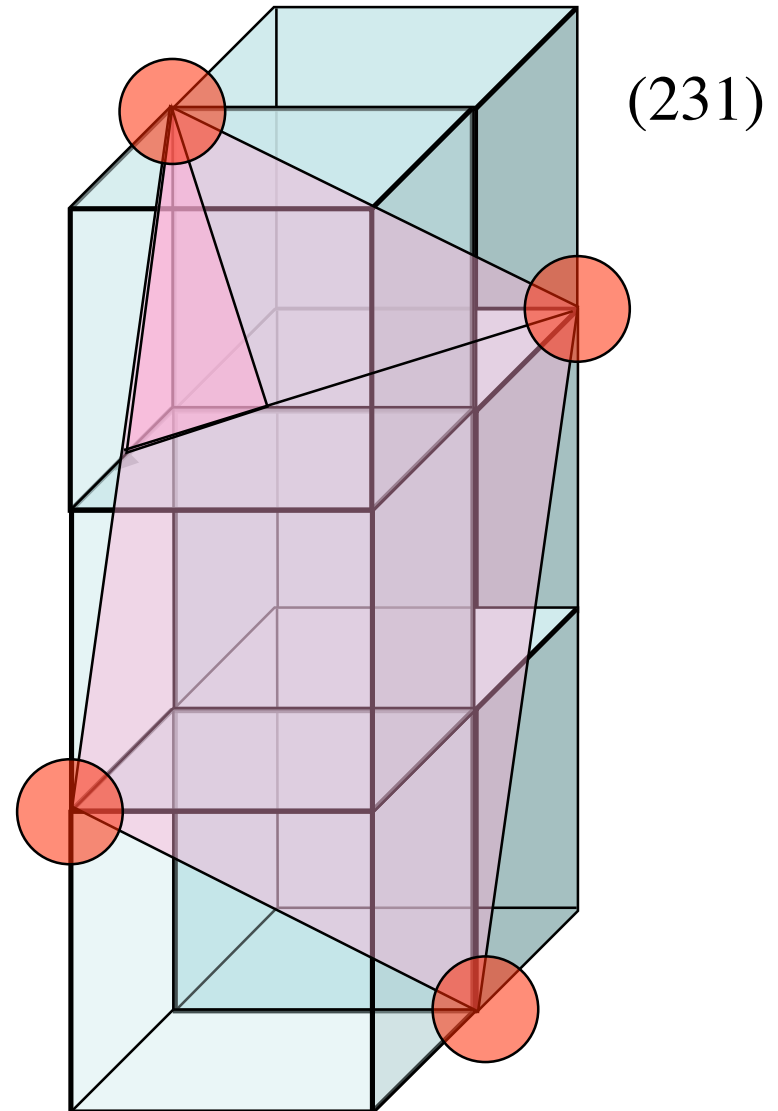




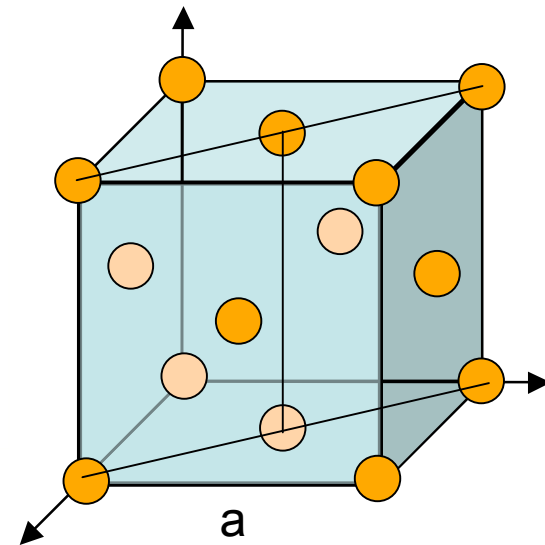
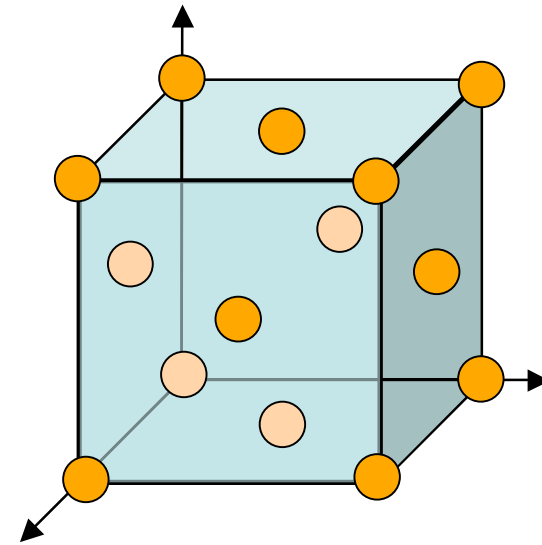
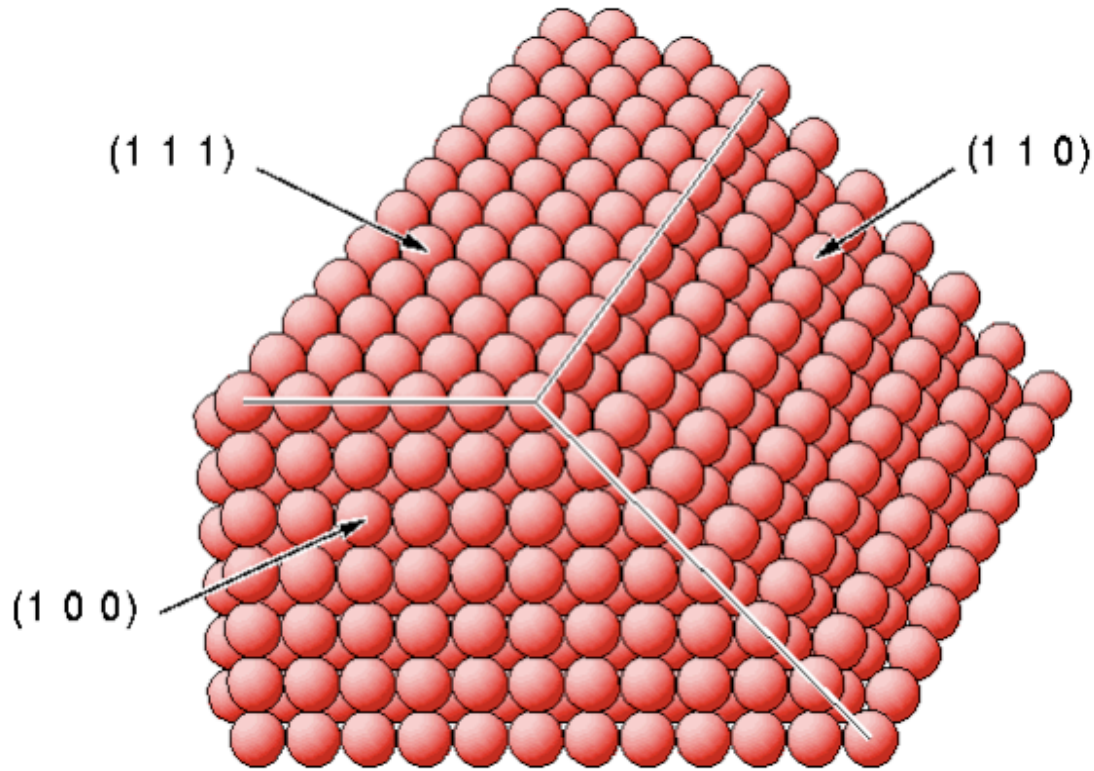
## Unit cell of low index crystal surfaces



A unit cell has to contain at least one atom.

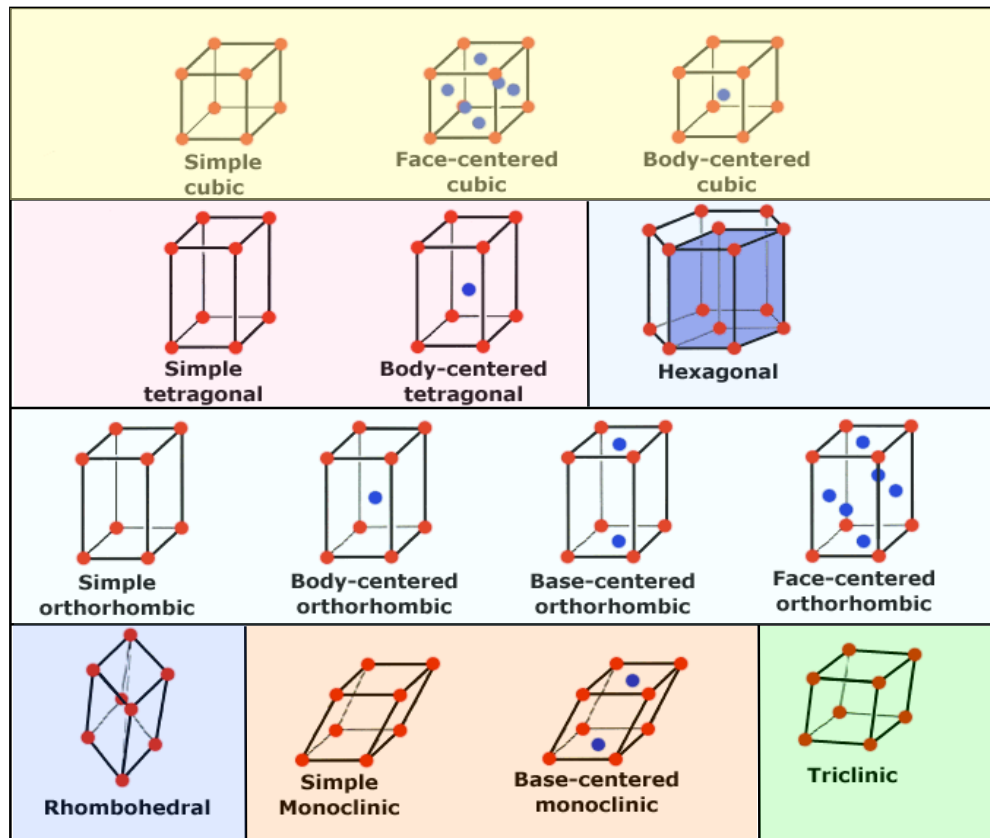


# A fcc crystal



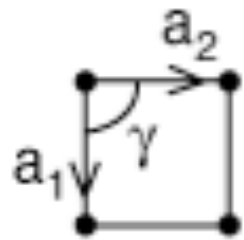
# Type of bulk crystals: 14 bulk Bravais lattices

3D bulk possible crystal structures





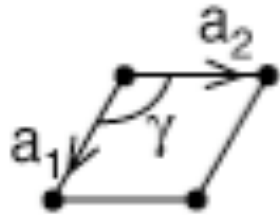
## Five Surface Bravais Lattice



square

$$a_1 = a_2$$

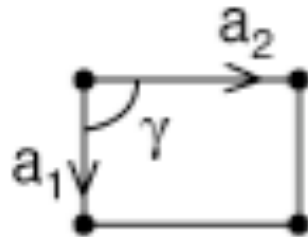
$$\gamma = 90^\circ$$



hexagonal

$$a_1 = a_2$$

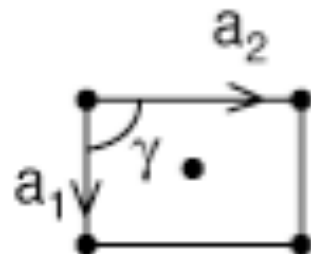
$$\gamma = 120^\circ$$



rectangular

$$a_1 = a_2$$

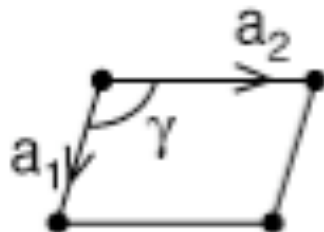
$$\gamma = 90^\circ$$



centered  
rectangular

$$a_1 = a_2$$

$$\gamma = 90^\circ$$



oblique

$$a_1 = a_2$$

$$\gamma = 90^\circ, 120^\circ$$

## Symmetry operation

The symmetry elements and related operations that we will find in molecules are:

Element	Operation
Rotation axis, $C_n$	n-fold rotation
Improper rotation axis, $S_n$	n-fold improper rotation
Plane of symmetry, $\sigma$	Reflection
Center of symmetry, $i$	Inversion
	Identity, E

## Common point groups

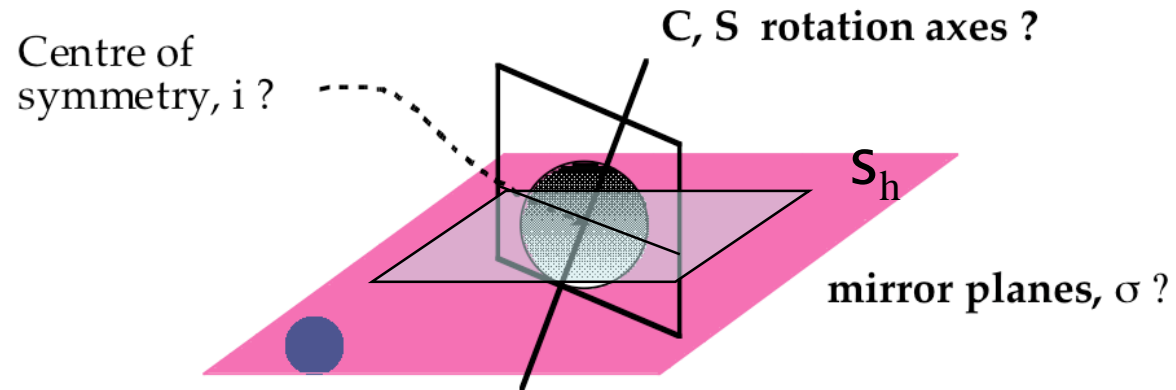
The following table contains a list of point groups with representative molecules. The description of structure includes common shapes of molecules based on [VSEPR theory](#).

Point group	symmetry elements	Description of structure	Examples
$C_1$	E	No symmetry	CFCIBrH, lysergic acid
$C_s$	E $\sigma_h$	Planar, no other symmetry	thionyl chloride, hypochlorous acid
$C_i$	E $i$	Inversion center, no other symmetry	<i>anti</i> -1,2-dichloro-1,2-dibromoethane
$C_{\infty v}$	E $2C_{\infty}$ $\sigma_v$	linear, no other symmetry	hydrochloric acid, dicarbon monoxide
$D_{\infty h}$	E $2C_{\infty}$ $\infty\sigma_i$ $i$ $2S_{\infty}$ $\infty C_2$	linear with inversion center	dihydrogen, azide anion, carbon dioxide
$C_2$	E $C_2$	"open book geometry"	hydrogen peroxide
$C_{2h}$	E $C_2$ $i$ $\sigma_h$	Planar with inversion center	trans-1,2-dichloroethylene
$C_{2v}$	E $C_2$ $\sigma_v(xz)$ $\sigma_v'(yz)$	angular (H <sub>2</sub> O) or see-saw (SF <sub>4</sub> )	water, sulfur tetrafluoride, sulfuryl fluoride
$C_{3v}$	E $2C_3$ $3\sigma_v$	trigonal pyramidal	ammonia, phosphorus oxychloride
$C_{4v}$	E $2C_4$ $C_2$ $2\sigma_v$ $2\sigma_d$	square pyramidal	xenon oxytetrafluoride
$D_{2h}$	E $C_2(z)$ $C_2(y)$ $C_2(x)$ $i$ $\sigma(xy)$ $\sigma(xz)$ $\sigma(yz)$	planar with inversion center	ethylene, dinitrogen tetroxide, diborane
$D_{3h}$	E $2C_3$ $3C_2$ $\sigma_h$ $2S_3$ $3\sigma_v$	trigonal planar or trigonal bipyramidal	boron trifluoride, phosphorus pentachloride, sulfur trioxide
$D_{4h}$	E $2C_4$ $C_2$ $2C_2'$ $2C_2''$ $i$ $2S_4$ $\sigma_h$ $2\sigma_v$ $2\sigma_d$	square planar	xenon tetrafluoride
$D_{5h}$	E $2C_5$ $2C_5^2$ $5C_2$ $\sigma_h$ $2S_5$ $2S_5^3$ $5\sigma_v$	pentagonal planar	eclipsed ferrocene, <sup>[7]</sup> C <sub>70</sub> fullerene
$D_{6h}$	E $2C_6$ $2C_3$ $C_2$ $3C_2'$ $3C_2''$ $i$ $3S_3$ $2S_6^3$ $\sigma_h$ $3\sigma_d$ $3\sigma_v$	hexagonal planar	benzene, bis(benzene)chromium
$D_{2d}$	E $2S_4$ $C_2$ $2C_2'$ $2C_2''$ $2\sigma_d$	90° twist	allene, tetrasulfur tetranitride
$D_{3d}$	E $2C_3$ $3C_2$ $i$ $2S_6$ $3\sigma_d$	60° twist	ethane or disilane (staggered rotamer)
$D_{4d}$	E $2S_8$ $2C_4$ $2S_8^3$ $C_2$ $4C_2'$ $4\sigma_d$	45° twist	dimanganese decacarbonyl (staggered rotamer)
$D_{5d}$	E $2C_5$ $2C_5^2$ $5C_2$ $i$ $3S_{10}^3$ $2S_{10}$ $5\sigma_d$	36° twist	ferrocene (staggered rotamer)
$T_d$	E $8C_3$ $3C_2$ $6S_4$ $6\sigma_d$	tetrahedral	methane, germanium tetrachloride, phosphorus pentoxide, adamantane
$O_h$	E $8C_3$ $6C_2$ $6C_4$ $3C_2$ $i$ $6S_4$ $8S_6$ $3\sigma_h$ $6\sigma_d$	octahedral	cubane, sulfur hexafluoride
$I_h$	E $12C_5$ $12C_5^2$ $20C_3$ $15C_2$ $i$ $12S_{10}$ $12S_{10}^3$ $20S_6$ $15\sigma$	icosahedral	fullerene

# Surface group theory

## Unit cell symmetry

Limited symmetry survived

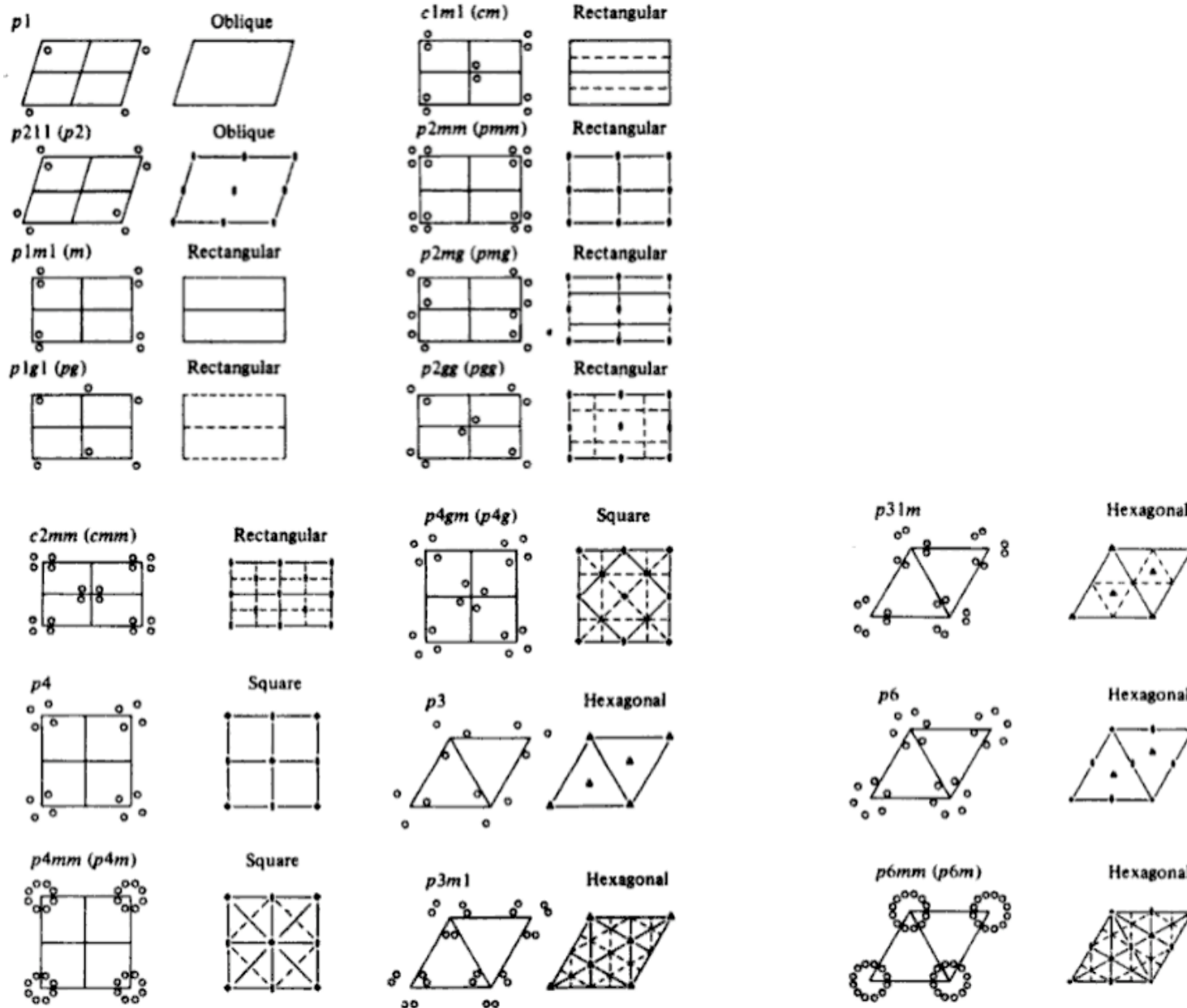


### Ten point groups

$C_{6v}$   $C_{4v}$   $C_{3v}$   $C_{2v}$   $C_s$   
 $C_6$   $C_4$   $C_3$   $C_2$   $C_1$

 1	 2	 $1m (m)$	 $2mm (mm)$
	 4	 $4mm (4m)$	 3
	 6	 $3m$	 $6mm (6m)$

# 2D 17 space group



*In 3 dimensions*

**32 crystallographic point groups**

**230 space groups**

**14 Bravais lattices**

**7 crystal structures**

*In 2 dimensions*

**10 crystallographic point groups**

no centre of symmetry,  $i$

no improper rotations,  $S_n$

rotations  $\perp$  to surface

mirror planes  $\perp$  to surface

**17 space groups**

no screw axes

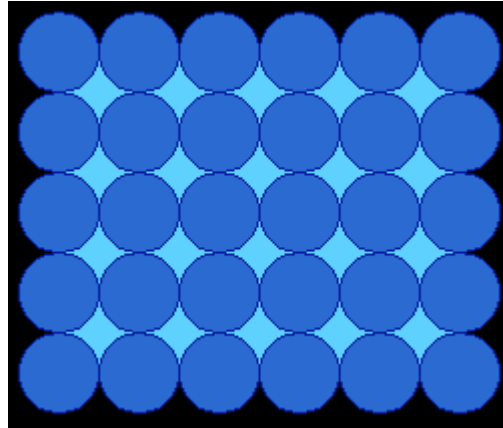
glide planes  $\perp$  to surface

**5 Bravais lattices**

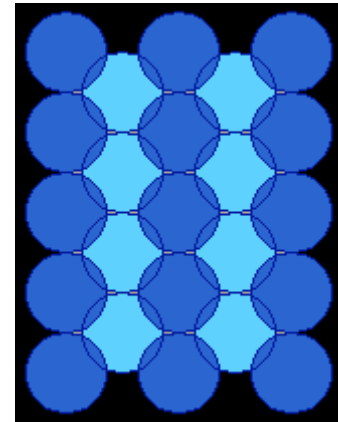
**4 (5) crystal structures**

# Examples of Bravais lattices in 2D

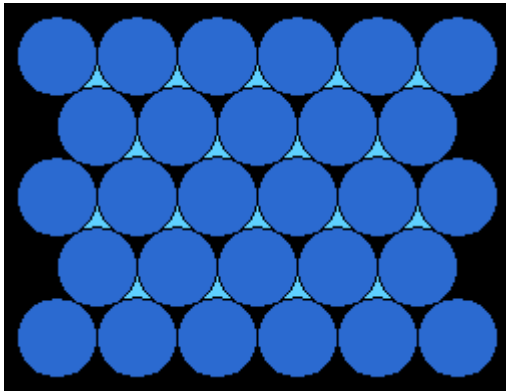
Square – fcc{100}



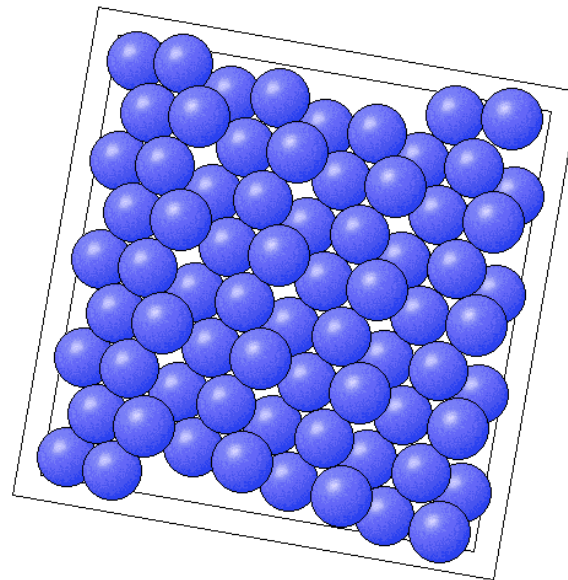
Rectangular primitive: fcc{110}



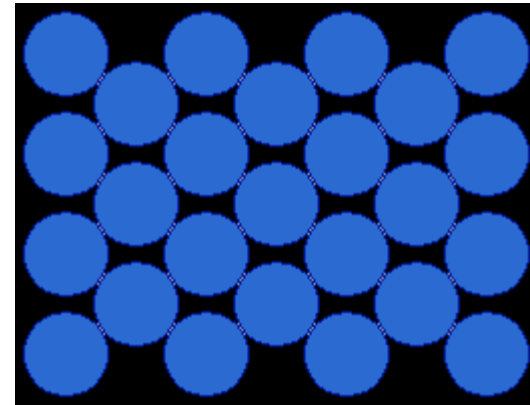
Hexagonal- hcp{0001}



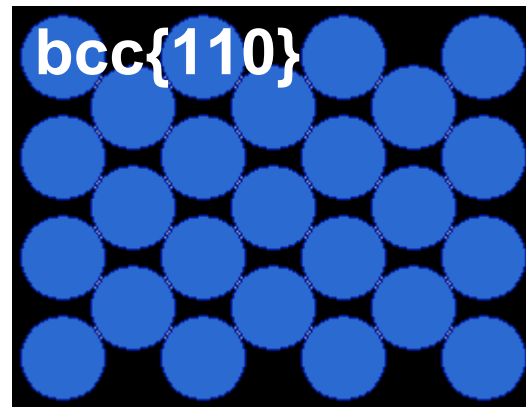
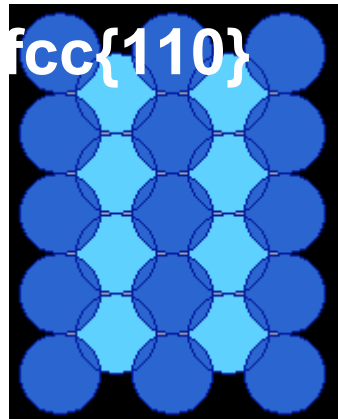
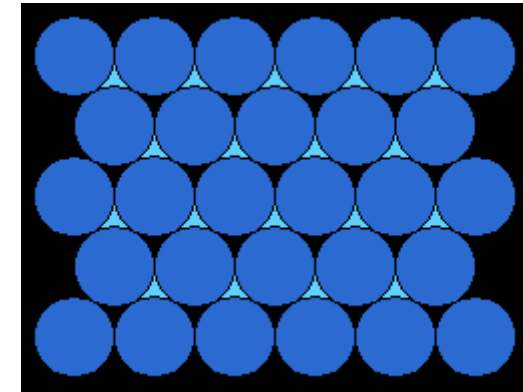
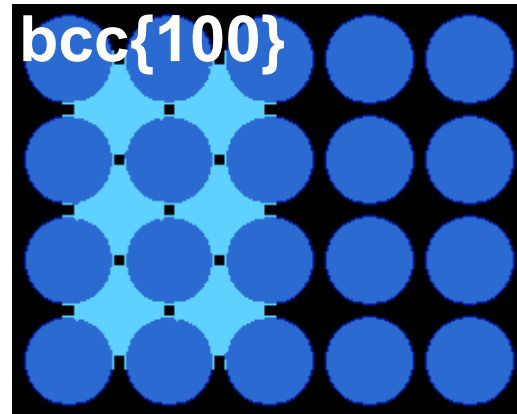
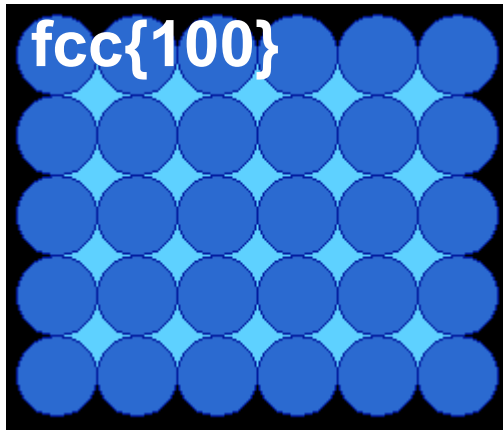
Oblique: fcc{531}



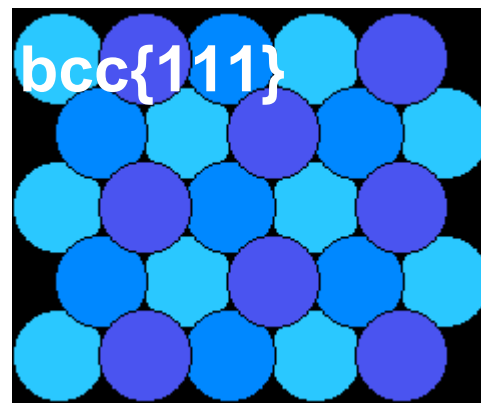
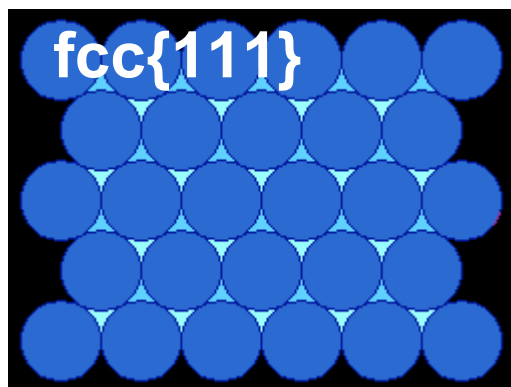
Rectangular centred: bcc{110}



# Surface geometry: truncated bulk



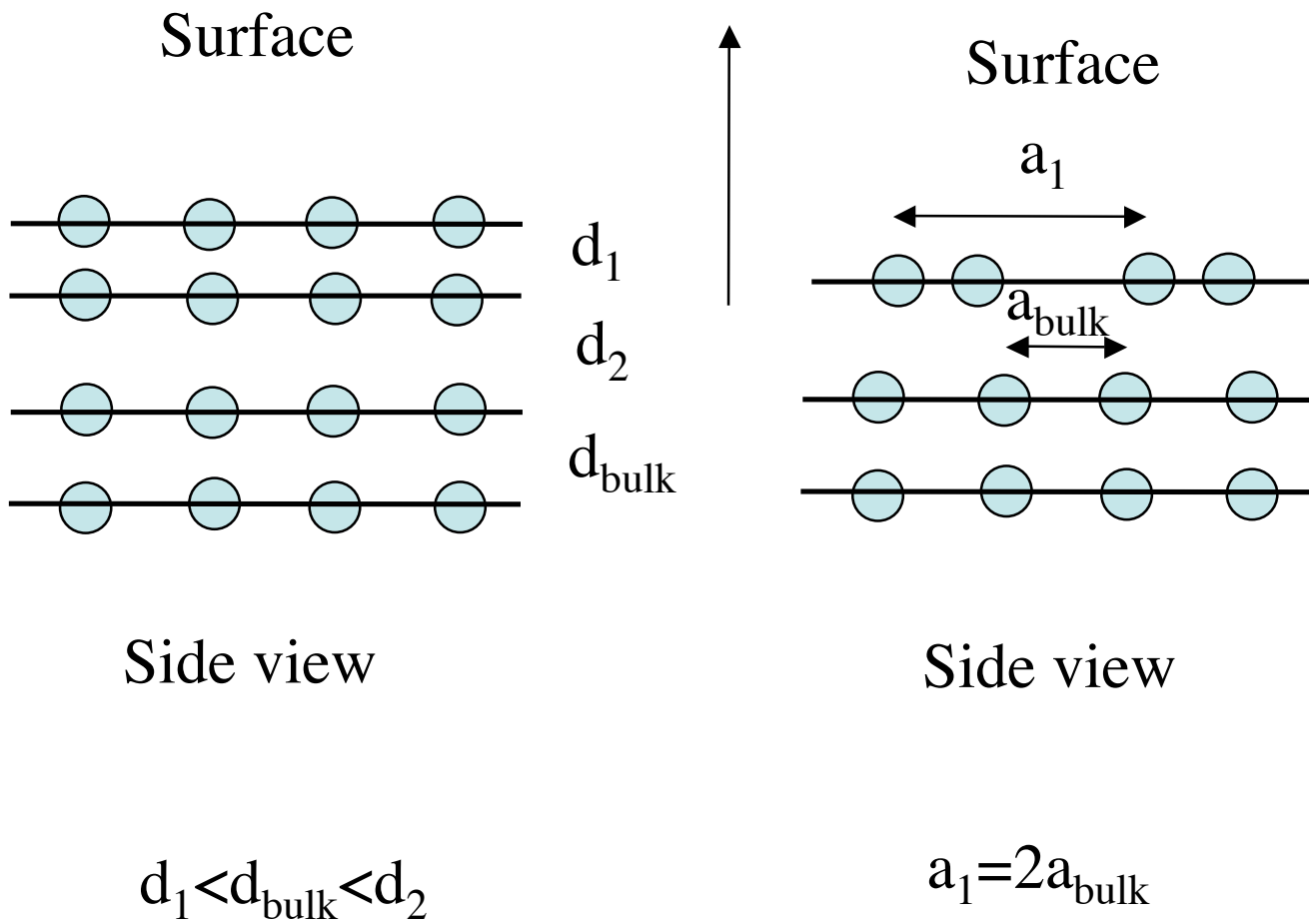
**hcp{0001}**



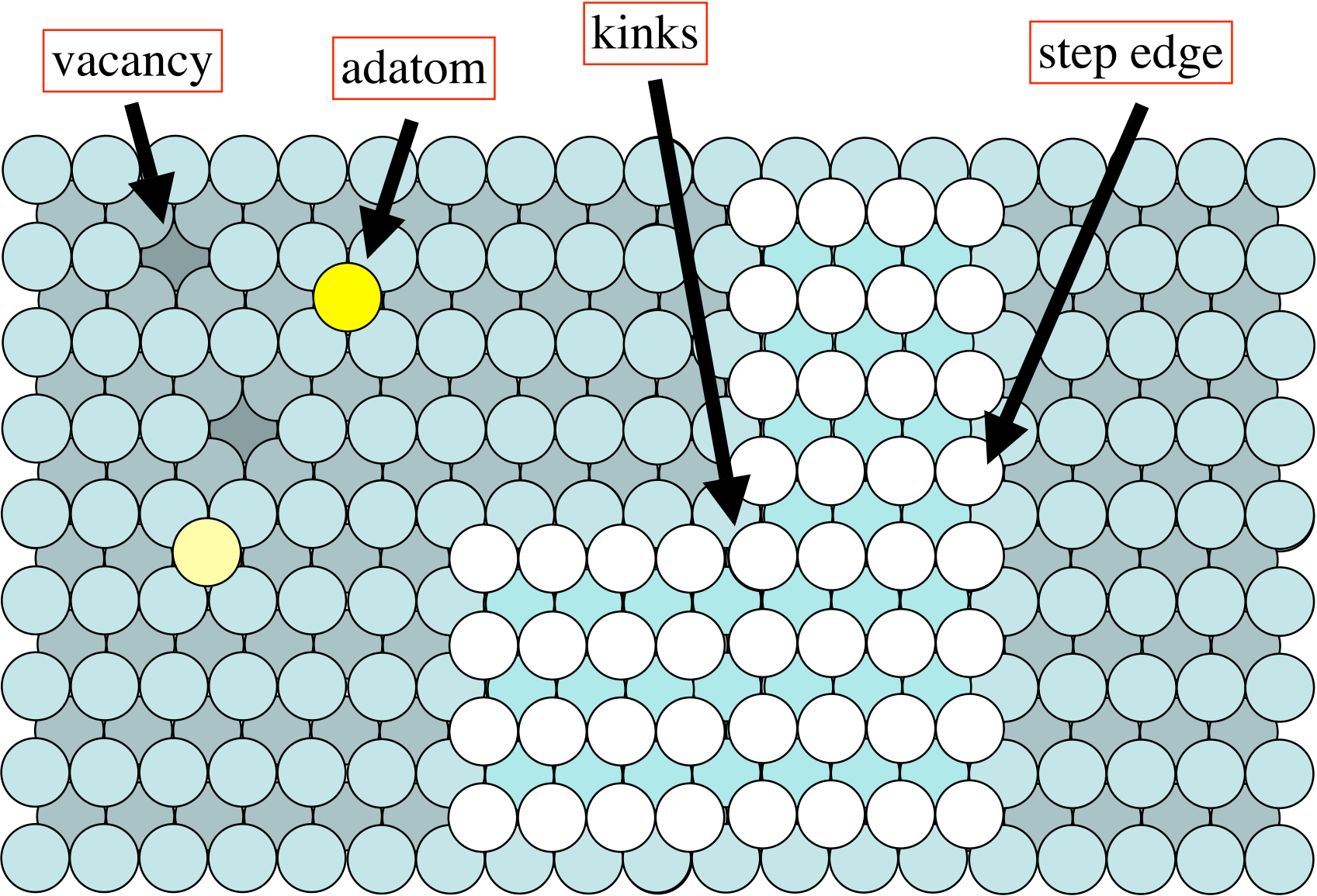
Surface geometry:  
truncated bulk,  
relaxation,  
defects,  
reconstruction,  
super-structures



# Surface relaxation and reconstruction



Surface defects:



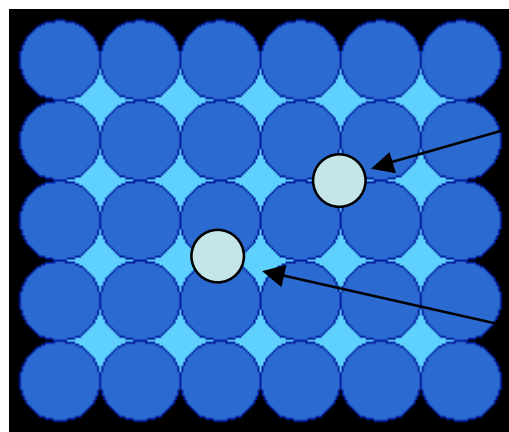
vacancy

adatom

kinks

step edge

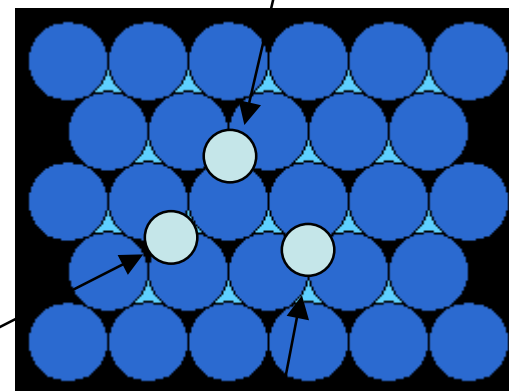
# Surface adsorption sites:



4-fold hollow sites

2-fold bridge sites

3-fold type B sites



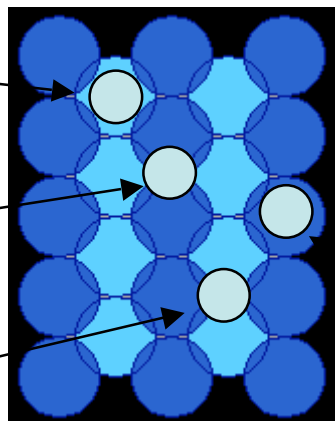
bridge sites

3-fold type A sites

Hollow sites

Short bridge sites

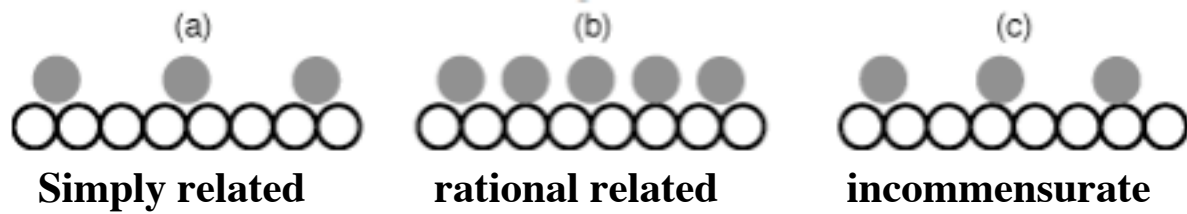
Long bridge sites



Atop site

# Overlayer structures:

Commensurate vs incommensurate



Growth modes:

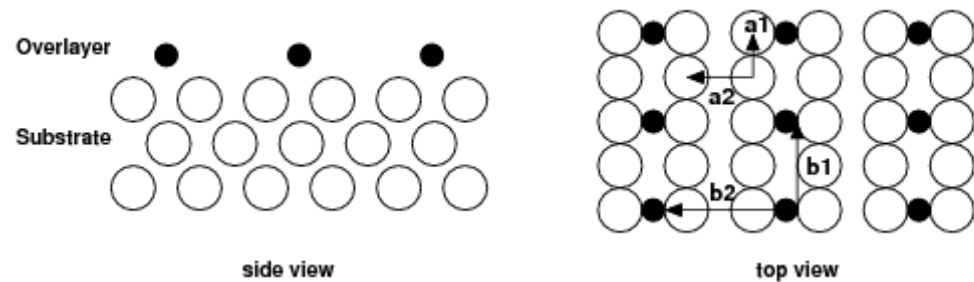
- Frank-van der Merwe
- Stranski-Krastanov
- Volmer-Weber



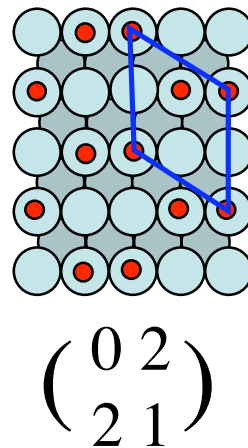
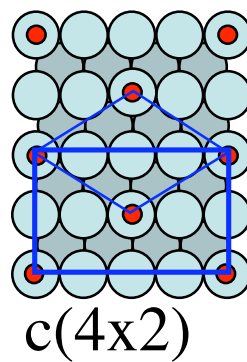
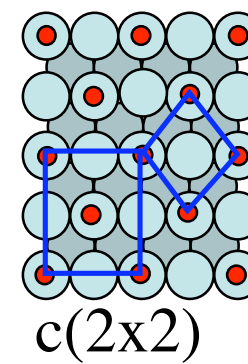
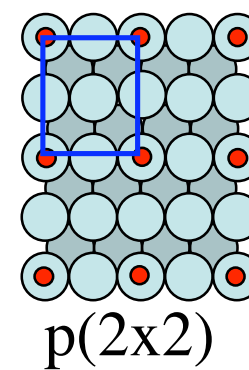
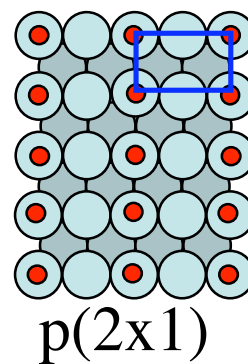
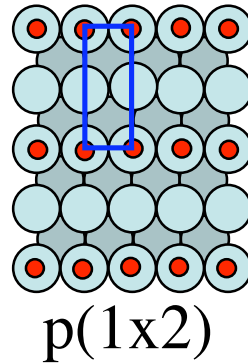
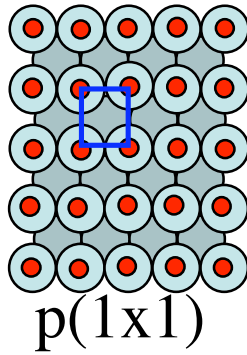
Superstructure:

substrate: vectors  $\mathbf{a}_1$  and  $\mathbf{a}_2$   
 overlayer: vectors  $\mathbf{b}_1$  and  $\mathbf{b}_2$

$$\mathbf{b}_1 = 2\mathbf{a}_1, \quad \mathbf{b}_2 = 2\mathbf{a}_2 \quad p(2 \times 2)$$



## Examples of adlayer super structures:



A more general description of the surface structure is the so-called matrix notation.

$$b_1 = m_{11}a_1 + m_{12}a_2$$

$$b_2 = m_{21}a_1 + m_{22}a_2$$

$$\begin{pmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{pmatrix}$$

$a_1$  and  $a_2$  are the substrate unit cell vectors,  $b_1$  and  $b_2$  are overlayer unit cell vectors.

In summary:

1. Surface crystal index, define crystal plane
2. Surface Bravais lattice
3. Surface symmetry, unit cell symmetry, 2D space group
4. Surface defects and sites
5. Surface relaxation
6. Superstructures